

04-03-01

A

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:

FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE

NEW YORK, NEW YORK 10151

TEL: (212) 588-0800

FAX: (212) 588-0500

Date: April 2, 2001

Attorney Docket No.: 450100-03122

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Shin IIMA, Makoto SAITO

entitled:

TRANSMISSION APPARATUS AND METHOD, RECEPTION APPARATUS AND METHOD, MANAGEMENT APPARATUS AND METHOD, CHARGING APPARATUS AND METHOD, PROVIDING APPARATUS AND METHOD, AND RECORDING MEDIUM

The following are enclosed:

- ☒ Specification (52 pages)
- ☒ 15 Sheet(s) of Drawings
- ☒ 37 Claim(s) (including 24 independent claim(s))
- ☐ This application contains a multiple dependent claim

- ☒ Our check for \$ 2696.00, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$710.00 (\$355.00)	\$ 710.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each: 17	306.00
Number of Independent Claims in excess of 3 at \$80.00 (\$40.00) each: 21	1680.00
Multiple Dependent Claim Fee at \$270.00 (\$135.00)	-0-
Total Filing Fee	\$ 2696.00
Assignment Recording Fee \$40.00	-0-

- ☒ Oath or Declaration and Power of Attorney

☒ New ☐ signed ☒ unsigned

☐ Copy from a prior application (37 C.F.R. 1.63(d))


- ☒ Certified copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
2000-102128	4 April 2000	Japan
2000-160333	30 May 2000	Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
Dennis M. Smid
Reg. No. 34,930

11031 U.S. PTO
04/02/01

FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE NEW YORK, NEW YORK 10151

JC918 U.S. PTO
09/824254
04/02/01

WILLIAM S. FROMMER
WILLIAM F. LAWRENCE
EDGAR H. HAUG
MATTHEW K. RYAN
BARRY S. WHITE
THOMAS J. KOWALSKI
JOHN R. LANE
DENNIS M. SMID *
DANIEL G. BROWN
BARBARA Z. MORRISSEY
STEVEN M. AMUNDSON
MARILYN MATTHEW BROGAN
JAMES K. STRONSKI
CHARLES J. RAUBICHECK
GRACE L. PAN*
GORDON KESSLER
MARK W. RUSSELL*
JEFFREY A. HOVDEN

A. THOMAS S. SAFFORD
JEROME ROSENSTOCK
RAYMOND R. WITTEKIND, PH.D.
SUSAN K. LEHNHARDT, PH.D.
RICHARD E. PARKE
Of Counsel

BRUNO POLITO
JOE H. SHALLENBURGER
CHRISTIAN M. SMOLIZZA
GLENN F. SAVIT
ROBERT E. COLLETTI
DEXTER T. CHANG
PETER J. WAIBEL
LINDSEY A. MOHLE
DEENA P. LEVY
DARREN M. SIMON
JOHN C. TAYLOR
DAVID A. ZWALLY
SAMUEL H. MECERDITCHIAN
KEVIN MURPHY

*Admitted to a Bar
other than New York

April 2, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application
Applicants: Shin IIMA, Makoto SAITO
Our Ref.: 450100-03122

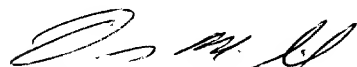
Dear Sir:

Enclosed are papers constituting the above patent application which is being filed under 37 C.F.R. 1.53 without a signed Declaration. Please accord a filing date and a serial number to such application and inform the undersigned thereof so that a signed Declaration and the surcharge required by 37 C.F.R. 1.16(e) may be duly filed.

Please address all correspondence to:

William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Respectfully,



Dennis M. Smid
Reg. No. 34,930
Attorney for Applicants
Enclosures

J:\SONY\03122\53BAPUNS.DS (WSF:sa)

TEL: (212) 588-0800 FAX: (212) 588-0500 E-MAIL: FIRM@FLHLAW.COM

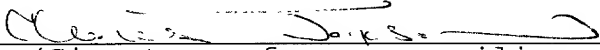
"Express Mail" mailing label number EL742696820US

Date of Deposit April 2, 2001



I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Shane S. Jackson
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

SCANNED, # _____